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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/828,269
Filing Date	April 21, 2004
First Named Inventor	DEGRAAF, Jim
Art Unit	3692
Examiner Name	MERCHANT, Shahid R.
Attorney Docket Number	11483-174

ENCLOSURES (Check all that apply)

- | | | |
|---|--|---|
| <input type="checkbox"/> Fee Transmittal Form
<input type="checkbox"/> Fee Attached
<input type="checkbox"/> Amendment/Reply
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Extension of Time Request
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<input type="checkbox"/> Information Disclosure Statement
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<input type="checkbox"/> Petition to Convert to a Provisional Application
<input type="checkbox"/> Power of Attorney, Revocation
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<input type="checkbox"/> CD, Number of CD(s) _____
<input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Remarks
Issue Fee letter; and
Issue Fee Transmittal form. |
|---|--|---|

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name Bereskin & Parr

Signature

Printed name Kendrick Lo

Date May 27, 2008

Reg. No. 54,948

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or printed name

Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Bereskin & Parr

INTELLECTUAL PROPERTY LAW

May 27, 2008

Kendrick Lo B.A.Sc. (Eng. Sci.), MBA, LL.B.
416 957 1685 klo@bereskinparr.com

Your Reference: 10/828,269
Our Reference: 11483-174

Issue Fee

The Commissioner of Patents
and Trademarks
Washington, D.C.
U.S.A 20231

Dear Sir:

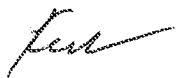
Re: United States Patent Application No. 10/828,269
For: RISK MANAGEMENT SYSTEM AND METHOD PROVIDING
RULE-BASED EVOLUTION OF A PORTFOLIO OF INSTRUMENTS
Filed: April 21, 2004
Applicants: DEGRAAF, Jim

This correspondence is responsive to the Notice of Allowance and Issue Fee Due dated May 6, 2008, in the above-identified patent application. As required, we enclose herewith the Issue Fee transmittal forms.

Please note that the named Assignee currently of record (recorded at Reel **017946** and Frame **0273**) has been identified in the attached Issue Fee Transmittal form, however the address of said Assignee has changed. The current address of the Assignee is reflected in the attached Issue Fee Transmittal form.

Please charge the government fee of \$1,740.00 to our deposit account no. **02-2095**. Please also charge any deficiency or credit any overpayment to this account.

Respectfully submitted,



Kendrick Lo
Registration No. 54,948
/sc
Encl.